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STIC Database Tracking Number: 159310

TO: Fiona Powers

Location: rem/4A31/5C18

Art Unit: 1626 Aug 9__, 2005

Case Serial Number: 10/09/928055

From: P. Sheppard

Location: Remsen Building

Phone: (571) 272-2529

sheppard@uspto.gov

Search Notes	
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L6

(FILE 'REGISTRY' ENTERED AT 17:16:24 ON 09 AUG 2005)
L1 STR
L2 3555 SEA SSS FUL L1
L3 STR
L4 STR
L5 16 SEA SUB=L2 SSS FUL L3 AND L4

FILE 'HCAPLUS' ENTERED AT 17:20:17 ON 09 AUG 2005 4 SEA ABB=ON PLU=ON L5 D STAT QUE D IBIB ABS HITSTR L6 1-4

FILE HCAPLUS

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FILE COVERS 1907 - 9 Aug 2005 VOL 143 ISS 7 FILE LAST UPDATED: 8 Aug 2005 (20050808/ED)

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FILE REGISTRY

Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 8 AUG 2005 HIGHEST RN 859027-58-0 DICTIONARY FILE UPDATES: 8 AUG 2005 HIGHEST RN 859027-58-0

New CAS Information Use Policies, enter HELP USAGETERMS for details.

TSCA INFORMATION NOW CURRENT THROUGH JANUARY 18, 2005

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Structure search iteration limits have been increased. See HELP SLIMITS

for details.

Experimental and calculated property data are now available. For more information enter HELP PROP at an arrow prompt in the file or refer to the file summary sheet on the web at: http://www.cas.org/ONLINE/DBSS/registryss.html

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FILE COVERS 1907 - 9 Aug 2005 VOL 143 ISS 7 FILE LAST UPDATED: 8 Aug 2005 (20050808/ED)

New CAS Information Use Policies, enter HELP USAGETERMS for details.

This file contains CAS Registry Numbers for easy and accurate substance identification.

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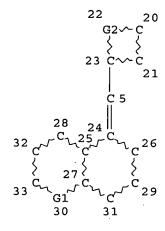
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RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 15

STEREO ATTRIBUTES: NONE

L2 (3555) SEA FILE=REGISTRY SSS FUL L1 STR

L3



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GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 15

STEREO ATTRIBUTES: NONE L4STR

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NODE ATTRIBUTES:

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DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 5

STEREO ATTRIBUTES: NONE

L5 16 SEA FILE=REGISTRY SUB=L2 SSS FUL L3 AND L4

L6 4 SEA FILE=HCAPLUS ABB=ON PLU=ON L5

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=> d ibib abs hitstr 16 1-4

L6 ANSWER 1 OF 4 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:1004592 HCAPLUS

DOCUMENT NUMBER: 140:6182

TITLE: Infrared chromophores and printing inks containing

them

INVENTOR(S): Hall, Lachlan Everett; Silverbrook, Kia

PATENT ASSIGNEE(S): Australia

SOURCE: U.S. Pat. Appl. Publ., 118 pp., Cont.-in-part of U.S.

Ser. No. 693,301. CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English FAMILY ACC. NUM. COUNT: 11

PATENT INFORMATION:

PATENT NO.					KIND DATE				APPLICATION NO.						DATE				
	2002136972								US 2001-927684										
EP	EP 1196752			A1 20020417			EP	20000630											
	R:	ΑT,	BE,	CH,	DE,	DK,	ES,	FR,	GB, G	R, I	T, 1	LI,	LU,	NL,	SE	Z, N	ſC,	PT,	
		ΙE,	SI,	LT,	LV,	FI,													
	2002						002	0711	US	200	1-92	2768	35			200	108	310	
ZA 2002000847					Α	2	003	0130	ZA	ZA 2002-847						20020130			
US 2003094500					A1	2	003	0522							20021112				
US	6843	420			B2	2	005	0118											
US	2005	0229	37		A1	2	005	0203	US	200	4 - 93	3328	35			200	409	03	
US	2005	0614	48		A 1	. 2	005	0324	US	200	4 - 94	4930	7			200	409	27	
	2005							0324	US	200	4-98	8640	3			200	411		
US	2005	0645	03		A1	2	005	0324	US AU	200	4-98	8640	4			200	411	.12	
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									AU	199	99-13	313			Α	199	906	30	
											99-36				Α	199	910	25	
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											99-34				Α		910		
									AU	199	99-43	392			Α	199	912	01	
											0-58						0002		
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											JA-0(006		
									US	200	2-29	9157	7		A 1	200	211	.12	
•									US	200	2-32	2245	0		A 1	200	212	19	

OTHER SOURCE(S): MARPAT 140:6182

AB Cycloalkane-based compds., especially IR dyes which absorb strongly in the near IR region of the spectrum but poorly in the visible regions, are disclosed. The dyes are characterized by calculated IR spectra and are suitable for use in IR-absorbing printing inks.

IT 398491-08-2 398491-09-3

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(dye; near-IR-absorbing chromophores and printing inks containing them)

RN 398491-08-2 HCAPLUS

CN Cyclobutanone, 3,3-dimethyl-2,4-bis(1H-thioxanthen-1-ylidenemethyl)- (9CI) (CA INDEX NAME)

RN 398491-09-3 HCAPLUS

CN Cyclobutanone, 3,3-dimethyl-2,4-bis(1H-xanthen-1-ylidenemethyl)- (9CI) (CA INDEX NAME)

L6 ANSWER 2 OF 4 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER:

2002:555827 HCAPLUS

DOCUMENT NUMBER:

137:126576

TITLE:

Bridged diarylpolymethine chromophores as infrared dyes for inks for jet printing or offset printing

INVENTOR(S):

Hall, Lachlan Everett; Silverbrook, Kia

PATENT ASSIGNEE(S):

Australia

SOURCE:

U.S. Pat. Appl. Publ., 104 pp., Cont.-in-part of U.S.

Ser. No. 693,301.

CODEN: USXXCO

DOCUMENT TYPE:

Patent English

LANGUAGE:

FAMILY ACC. NUM. COUNT: 11

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO.	DATE
US 2002095733 A1 20020725 US 2001-928055 EP 1196752 A1 20020417 EP 2000-938343 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL,	20000630
IE, SI, LT, LV, FI, RO	
ZA 2002000847 A 20030130 ZA 2002-847	20020130
US 2003094500 A1 20030522 US 2002-291577	20021112
US 6843420 B2 20050118	
US 2005022937 A1 20050203 ´US 2004-933285	20040903
US 2005061448 A1 20050324 US 2004-949307	20040927
US 2005064502 A1 20050324 US 2004-986403	20041112
US 2005064503 A1 20050324 US 2004-986404	20041112
PRIORITY APPLN. INFO.: AU 1999-559 A	19990525
AU 1999-1313 A	19990630
AU 1999-3457 A	19991015
AU 1999-3632 A	19991025
AU 1999-4392 A	19991201
AU 2000-9376 A	20000814
AU 2000-9412 A	20000814
AU 2000-9509 A	20000818
AU 2000-9561 A	20000821
AU 2000-9571 A	20000821
US 2000-693301 A:	2 20001020
AU 2000-5829 A	20000224
US 2000-575154 A:	1 20000523
WO 2000-AU775 · W	20000630
US 2002-291577 A:	1 20021112
US 2002-322450 A:	1 20021219

OTHER SOURCE(S): MARPAT 137:126576

An IR dye comprises 2 bridged diarylpolymethine type dyes or derivs. connected together at either the 3, 4, 5 or 6 position by a central moiety such that the 2 dyes are located on each side of the central moiety, where the IR dye absorbs strongly in the near IR region of the spectrum but poorly in the visible region of the spectrum. The central moiety is selected from squarylium, croconium, methinologs and derivs. Calculated absorption spectra are shown for the zwitterionic forms of 8 dyes.

400602-20-2 400602-22-4 444018-59-1

444018-60-4 444018-61-5 444018-62-6

444018-63-7 444018-64-8

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(near IR dyes for inks for jet printing) 400602-20-2 HCAPLUS

RN

Xanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-xanthen-1-CN ylidene]methyl]-2-hydroxy-4-oxo-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-22-4 HCAPLUS

CN Thioxanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-thioxanthen-1-ylidene]methyl]-2-hydroxy-4,5-dioxo-2-cyclopenten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 444018-59-1 HCAPLUS

CN Thioxanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-thioxanthen-1-ylidene]methyl]-2-hydroxy-4-oxocyclobutylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 444018-60-4 HCAPLUS

CN Xanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-xanthen-1-ylidene]methyl]-4-hydroxy-2,2-dimethylcyclobutylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 444018-61-5 HCAPLUS

CN Thioxanthylium, 6-(1,1-dimethylethyl)-1-[[3-[[6-(1,1-dimethylethyl)-1H-thioxanthen-1-ylidene]methyl]-2-hydroxy-4-oxocyclobutylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 444018-62-6 HCAPLUS

CN Thioxanthylium, 7-(1,1-dimethylethyl)-1-[[3-[[7-(1,1-dimethylethyl)-1H-thioxanthen-1-ylidene]methyl]-2-hydroxy-4-oxocyclobutylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 444018-63-7 HCAPLUS

CN Thioxanthylium, 1-[[2-hydroxy-4-oxo-3-(1H-thioxanthen-1-ylidenemethyl)cyclobutylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 444018-64-8 HCAPLUS

CN 1-Benzopyrylium, 5-[[3-(5H-1-benzopyran-5-ylidenemethyl)-4-hydroxy-2,2-dimethylcyclobutylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

L6 ANSWER 3 OF 4 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:142815 HCAPLUS

DOCUMENT NUMBER: 136:185329

TITLE: Infrared chromophores and printing inks containing

them

INVENTOR(S): Hall, Lachlan Everett; Silverbrook, Kia PATENT ASSIGNEE(S): Silverbrook Research Pty. Ltd., Australia

SOURCE: PCT Int. Appl., 42 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 11

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

```
WO 2002014438
                                  20020221
                                              WO 2001-AU999
                           Α1
                                                                       20010814
             AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
             CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
             GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
             LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT,
             RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
         RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY,
             DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF,
             BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
     AU 2001079501
                           Α5
                                  20020225
                                              AU 2001-79501
                                                                       20010814
PRIORITY APPLN. INFO.:
                                              AU 2000-9376
                                                                   Α
                                                                      20000814
                                              AU 2000-9412
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                                              AU 2000-9509
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                                                                       20000818
                                              AU 2000-9561
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                                                                       20000821
                                              AU 2000-9571
                                                                    Α
                                                                       20000821
                                              WO 2001-AU999
                                                                    W
                                                                       20010814
OTHER SOURCE(S):
                          MARPAT 136:185329
     Cycloalkane-based IR dyes which absorb strongly in the near IR region of
     the spectrum but poorly in the visible regions are disclosed. The dyes
     are characterized by calculated IR spectra and are suitable for use in
     IR-absorbing printing inks.
IT
     398491-08-2 398491-09-3
     RL: PRP (Properties); TEM (Technical or engineered material use); USES
     (Uses)
         (dye; near-IR-absorbing chromophores and printing inks containing them)
RN
     398491-08-2 HCAPLUS
CN
     Cyclobutanone, 3,3-dimethyl-2,4-bis(1H-thioxanthen-1-ylidenemethyl)- (9CI)
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(CA INDEX NAME)

RN 398491-09-3 HCAPLUS CN Cyclobutanone, 3,3-dimethyl-2,4-bis(1H-xanthen-1-ylidenemethyl)- (9CI) (CA INDEX NAME)

REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L6 ANSWER 4 OF 4 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER:

2002:142814 HCAPLUS

DOCUMENT NUMBER:

136:185328

TITLE:

Cycloalkenone-bridged heterocyclic diarylpolymethine

near-IR dyes and printing inks containing them

INVENTOR(S):

Hall, Lachlan Everett; Silverbrook, Kia Silverbrook Research Pty. Ltd., Australia

PATENT ASSIGNEE(S):

PCT Int. Appl., 23 pp.

SOURCE:

CODEN: PIXXD2

DOCUMENT TYPE:

Patent English

11

LANGUAGE: FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.										APPL	ICAT:	ION 1	DATE					
WO	WO 2002014437				A1 20020221			Ţ	WO 2	001-2	AU10	20010814						
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		CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	ES,	FI,	GB,	GD,	GE,	GH,	
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		LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NO,	NZ,	PL,	PT,	
		RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	ТJ,	TM,	TR,	TT,	TZ,	UA,	UG,	US,	
		UΖ,	VN,	ΥU,	ZA,	ZW,	AM,	ΑZ,	BY,	KG,	ΚZ,	MD,	RU,	ΤJ,	TM			
	RW:	GH,	GM,	KΕ,	LS,	MW,	ΜZ,	SD,	SL,	SZ,	TZ,	UG,	ZW,	ΑT,	BE,	CH,	CY,	
		DE,	DK,	ES,	FI,	FR,	GB,	GR,	ΙE,	ΙT,	LU,	MC,	NL,	PT,	SE,	TR,	BF,	
		ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,	TG		
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						1	AU 2	000-	9509		i	A 2	0000	318				
					i	AU 2	000-	9561		1	A 2	0000	321					
									7	AU 2	000-	9571		Ž	A 2	0000	321	
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OTHER SOURCE(S): MARPAT 136:185328

AB Disclosed are IR dyes which comprise two bridged diarylpolymethine type dyes or derivs. thereof connected together by a central cycloalkenone

moiety, wherein the dyes absorb strongly in the near-IR region of the spectrum but poorly in the visible region of the spectrum. The dyes, typically squarylium-based, are suitable for IR jet-printing inks. Examples of calculated spectra were given for 8 zwitterionic dyes.

IT 400602-20-2 400602-21-3 400602-22-4 400602-23-5 400602-24-6 400602-25-7

400602-25-5 400602-24-6 40

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(dye; cycloalkenone-bridged heterocyclic diarylpolymethine near-IR dyes for printing inks)

RN 400602-20-2 HCAPLUS

CN Xanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-xanthen-1-ylidene]methyl]-2-hydroxy-4-oxo-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-21-3 HCAPLUS

CN Thioxanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-thioxanthen-1-ylidene]methyl]-2-hydroxy-4-oxo-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-22-4 HCAPLUS

CN Thioxanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-thioxanthen-1-ylidene]methyl]-2-hydroxy-4,5-dioxo-2-cyclopenten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-23-5 HCAPLUS

CN Xanthylium, 6-(dimethylamino)-1-[[3-[[6-(dimethylamino)-1H-xanthen-1-ylidene]methyl]-2-hydroxy-4,4-dimethyl-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-24-6 HCAPLUS

CN Xanthylium, 6-(1,1-dimethylethyl)-1-[[3-[[6-(1,1-dimethylethyl)-1H-xanthen-1-ylidene]methyl]-2-hydroxy-4-oxo-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-25-7 HCAPLUS

CN Xanthylium, 7-(1,1-dimethylethyl)-1-[[3-[[7-(1,1-dimethylethyl)-1H-xanthen-1-ylidene]methyl]-2-hydroxy-4-oxo-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-26-8 HCAPLUS

CN Xanthylium, 1-[[2-hydroxy-4-oxo-3-(1H-xanthen-1-ylidenemethyl)-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

RN 400602-27-9 HCAPLUS

CN 1-Benzopyrylium, 5-[[3-(5H-1-benzopyran-5-ylidenemethyl)-2-hydroxy-4,4-dimethyl-2-cyclobuten-1-ylidene]methyl]-, inner salt (9CI) (CA INDEX NAME)

REFERENCE COUNT:

THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT